

In Place of FORM PTO-1449 (Modified)

Serial No.: 10/032,932
 Applicants: Richard E. Smalley et al.
 Filing Date: December 28, 2001
 Group: 1754
 Atty. Docket No.: 11321-P012USD6

**LIST OF PATENTS AND PUBLICATIONS FOR
 APPLICANTS' INFORMATION DISCLOSURE
 STATEMENT**

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
PL ABA	EP 1 176 234 A2	12/05/1993	European			

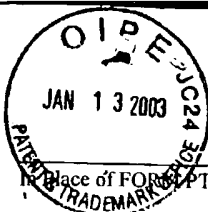
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial
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 PL ADA LIU, *et al.*, "Fullerene Pipes," *Science*, Volume 280, May 22, 1998, pp. 1253-1256.
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Examiner:

Date Considered:

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PL AAA	5,698,175	12/16/1997	Hiura et al.	423	447.1	
ABA						
ACA						

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Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ADA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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PL AEA

AJAYAN et al., "Opening carbon nanotubes with oxygen and implications for filing," *Nature*, Volume 362, pp. 522-525 (April 8, 1993).

Examiner:

Date Considered:

1/29/04

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